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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Anthony D. McGettigan and Georg Ockenfuss

Application No./Patent No.: unassigned Filed/Issue Date: February 23, 2004

Entitled: ANTI-ALIASING OPTICAL FILTER FOR IMAGE SENSORS

Optical Coating Laboratory, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2/23/04  
Date

Scott W. Hewett  
Typed or printed name  
[Signature]  
Signature  
Attorney for the Assignee  
Title

**ASSIGNMENT OF APPLICATION**

Whereas we, Anthony D. McGettigan and Georg Ockenfuss, both of Santa Rosa, California, hereafter referred to as APPLICANTS, have invented certain new and useful improvements in ANTI-ALIASING OPTICAL FILTER FOR IMAGE SENSORS, hereinafter called "the invention", and which is assigned Attorney Docket No. OC0409US, and


Whereas, Optical Coating Laboratory, Inc., of Santa Rosa, California, a corporation of the State of Delaware, herein referred to as ASSIGNEE, whose principle place of business is 2789 Northpoint Parkway, Santa Rosa, CA 95407-7397 is desirous of acquiring the entire right, title, and interest in the same;

Now therefore, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, an APPLICANT, by these presents do sell, assign, and transfer to the ASSIGNEE the full and exclusive right to the invention in the United States and the entire right, title and interest in and to any and all Patents that may be granted therefor in the United States, including any reissue patents, continuation patents, and/or division patents. I also hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to the ASSIGNEE, who holds the entire right, title, and interest to the same for its sole use and benefit; and for the use and benefit of its legal representatives, successors, and assigns, to the full end of the term for which any Patent may be granted.

I, the APPLICANT hereby further sells, assigns, transfers, and sets over to the ASSIGNEE the entire right, title, and interest in and to the invention in each and every country foreign to the United States; and the APPLICANT further conveys to the ASSIGNEE all priority rights resulting from the above-identified United States Patent Application, including the right to file patent applications in the ASSIGNEE's own name. The APPLICANT further agrees to execute all papers, give any required testimony, and perform other lawful acts, at the ASSIGNEE's expense, as the ASSIGNEE may require to enable the ASSIGNEE to perfect the ASSIGNEE's interest in any resulting patent of the United States or any foreign country, and to acquire, hold, enforce, convey, and uphold the validity of the patent and reissues and extensions thereof, and the ASSIGNEE's interest therein.

Date I signed the Declaration: 2/20/04

Executed this 20 day of February 2004 at Santa Rosa, California

  
Anthony D. McGettigan

Witnessed by: Francyne Kunkel

(Print name): Francyne Kunkel

**ASSIGNMENT OF APPLICATION**

Whereas we, Anthony D. McGettigan and Georg Ockenfuss, both of Santa Rosa, California, hereafter referred to as APPLICANTS, have invented certain new and useful improvements in ANTI-ALIASING OPTICAL FILTER FOR IMAGE SENSORS, hereinafter called "the invention", and which is assigned Attorney Docket No. OC0409US, and

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Date I signed the Declaration: 02/20/2004

Executed this 20 day of February 2004 at Santa Rosa, California

  
Georg Ockenfuss

Witnessed by: 

(Print name): CHARLES K. CARNIGLIA